2204 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515-0530 (202) 225-3976

www.house.gov/waxman

DISTRICT OFFICE: 8436 WEST THIRD STREET **SUITE 600** LOS ANGELES, CA 90048-4183 (323) 651-1040 (818) 878-7400 (310) 652-3095

Congress of the United States

House of Representatives

Washington, **BC** 20515-0530

HENRY A. WAXMAN 30TH DISTRICT, CALIFORNIA

June 10, 2008

CHAIRMAN

COMMITTEE ON OVERSIGHT

AND GOVERNMENT REFORM

MEMBER

COMMITTEE ON

ENERGY AND COMMERCE

Honorable Stephanie Tubbs Jones Chairwoman Committee on Standards of Official Conduct HT 2 The Capitol

Dear Chairwoman Tubbs Jones:

Please find enclosed my amended 2007 Financial Disclosure Statement with corrections made to Section III and IV.

Please accept my apologies for the inconvenience I may have caused.

Sincerely,

Henry A. Waxman **Member of Congress**

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PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Did you, your spouse, or a dependent child receive any reportable gift in or more from any source in the reporting period? If yes, complete and attach Schedule I. Did you, your spouse, or a dependent child receive and attach Schedule VI. If yes, complete and attach Schedule VI. President spouse, or a dependent child receive any reportable gift in or more than \$305 and not otherwise. Yes No VI. If yes, complete and attach Schedule VII. Did you, your spouse, or a dependent child receive any reportable travel or you spouse, or a dependent child receive any reportable travel or your spouse, or a dependent child receive any reportable travel or you, your spouse, or a dependent child receive any reportable travel or yes, complete and attach Schedule VII. Did you, your spouse, or a dependent child receive any reportable travel or the removing period (l.e., aggregating more than \$305 and not otherwise. Yes No VIII reimbursements for travel in the reporting period (l.e., aggregating more than \$305 and not otherwise. Yes No VIII reimbursements or travel in the reportable view of the remover than \$305 and not otherwise. Yes No VIII reimbursements or travel in the reportable spouse, or a dependent child receive any reportable yes, or a dependent child receive any reportable travel or the remover than \$305 and not otherwise. Yes No VIII reimbursements or travel in the reportable travel or the remover than \$305 and not otherwise. Yes No VIII reimbursements or travel in the reportable travel or the remover than \$305 and not otherwise. Yes No VIII reimbursements or travel in the reportable travel or the remover than \$305 and not otherwise. Yes No VIII reimbursements to ravel in the reportable travel or the remover than \$305 and not otherwise. Yes No VIII reimbursements to ravel in the reportable travel or the remover than \$305 and not otherwise. Yes No VIII reimbursements for travel in the reportable travel or the remover than \$305 and not otherwise. Yes No VIIII reimbursements for travel in the reportable travel or the
Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)? If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year? If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. Each question in this part must be answered and the schedule attached for each "Yes" response.
Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or vII. reimbursements for travel in the reporting period (worth more than \$305 from one source)? If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the vIII. current calendar year? If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. Each question in this part must be answered and the schedule attached for each "Yes" response.
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Did you, your spouse, or a dependent child receive any reportable gitt in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)? If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. Each question in this part must be answered and the schedule attached for each "Yes" response.
attach Schedule VII. attach Schedule VIII. attach Schedule IX. attach Schedule IX. attach Schedule IX. attach Schedule IX.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Exemptions +	Trusts- C
Exemptions Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
Yes No 🗸	Yes No 🗸

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Henry A. Waxman

Page 2 of 9

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Kelly Drye Collier Shannon	speech	Jan 10, 2007	\$2,000
Prudential Equity Group	speech	Feb 21, 2007	\$2,000
Health Network Communications, Ltd	speech	Feb 27, 2007	\$2,000
Generic Pharmaceutical Association	speech	March 2, 2007	\$2,000
DTC Perspectives Association	speech	April 10, 2007	\$2,000
The Healthcare Network	speech	April 10, 2007	\$2,000
Digital Dialogue Forum	speech	April 23, 2007	\$2,000
Capitol Research Management Group	speech	June 5, 2007	\$2,000
Security Industry Association	speech	June 12, 2007	\$2,000
Avalere Health	speech	June 14, 2007	\$2,000
Bank of America	speech	July 11, 2007	\$2,000
Center for Business Intelligence	speech	July 30, 2007	\$2,000

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

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Page 3 of 9

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Source	Activity	Date	Amount
Generic Pharmaceutical Association	speech	Sept 6, 2007	\$2,000
Professional Services Council	speech	Sept 10, 2007	\$2,000
Institute for International Research	speech	Sept 18, 2007	\$2,000
Scherago International	speech	Sept 24, 2007	\$2,000
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Vanguard Total Bond Market Fund Investor Stock	Vanguard European Stock Fund Investor Shares	Vanguard Pacific Stock Index Fund Investor Share	Vanguard Short Term Investment-Grade Fund Investor Shares	Henry Waxman Traditional IRA	Asset and/or income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$15,001 - \$50,000	\$50,001 - \$100,000	\$15,001 - \$50,000	\$15,000- \$50,000		Pear-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Name Henry A. Waxman
dividend	dividend	dividend	dividend		Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	Waxman
\$201 - \$1,000	\$201 - \$1,000	\$201-\$1,000	\$201 - \$1,000		Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	
P/S(partial)			w		BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.	Page 4 of 9

JT	JT	T										SCHEDUL
Vanguard European Stock Index Fund Investor Shares	Vanguard Pacific Stock Index Fund Investor	Vanguard Primary Money Market Fund	Henry Waxman & Janet Waxman Trusts		Vanguard Total Bond Market Total Index Fund Investor Shares	Waxman ROTH IRA		Fidelity Low Price Stock FLPSX	Vanguard REIT Index Fund Admiral Shares	Vanguard Mid-Cap Index Fund Investor Shares	Vanguard Inflation-protected Securities Fund Investor Shares	SCHEDULE III - ASSETS AND "UNEARNED" INCOME
\$50,001 - \$100,000	\$15,001 - \$50,000	\$1,001 - \$15,000]	\$1,001 - \$15,000			\$50,001 - \$100,000	\$100,001 - \$250,000	\$50,001 - \$100,000	\$15,001 - \$50,000	Name
dividend-	dividend	interest			dividend		,	dividend	dividend		dividend	Henry A. Waxman
\$1,001 - \$2,500	\$201 - \$1,000	\$1 - \$200			\$1001-\$2,500			\$1,001 - \$2,500	\$1001-\$2,500	\$201 - \$1,000	\$1001-\$2,500	
								s([partial)	P/S			Page 5 of 9

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Vanguard Tax Managed International Fund Vanguard 500 Index Fund \$50,000 Vanguard Shares Vanguard Emerging Markets Stock Index Fund Admiral Shares Vanguard Total Stock Market Index Waxman Fidelity Investments- IRA's Fidelity Contrafund Fidelity Fund Janet Waxman Separate Investments Investments Stock Market None \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000	SCHEDU	SCHEDULE III - ASSETS AND "UNEARNED" INCOME JT Vanguard Extended Market Index Find Investor Shares	Name \$50,001 -	Henry A. Waxman dividend	\$201 - \$1,000
Vanguard 500 Index Fund \$100,001 - Admiral Shares \$250,000 Vanguard Emerging Markets \$100,001 - Stock Index Fund Admiral \$100,001 - Stock Index Fund Admiral \$250,000 Shares Vanguard Total Stock Market Index Waxman Fidelity Investments- IRA's \$15,001 - Fidelity Fund \$15,001 - \$50,000 Fidelity Magellan \$15,001 - \$50,000 Fidelity Magellan \$50,000	J _T	Vanguard Tax Managed International Fund	\$15,001 - \$50,000	dividend	
Vanguard Emerging Markets Stock Index Fund Admiral Stock Index Fund Admiral Stock Market Index Vanguard Total Stock Market Index Waxman Fidelity Investments- IRA's Fidelity Contrafund Fidelity Fund Fidelity Fund Fidelity Magellan Fidelity Magellan Stock Market St	TL	Vanguard 500 Index Fund Admiral Shares	\$100,001 - \$250,000	dividend	į
Vanguard Total Stock Market Index Waxman Fidelity Investments- IRA's Fidelity Contrafund Fidelity Fund Fidelity Magellan Janet Waxman Separate Investments St 201 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000	J	Vanguard Emerging Markets Stock Index Fund Admiral Shares	\$100,001 - \$250,000	dividend	
Waxman Fidelity Investments- IRA's Fidelity Contrafund \$15,001 - \$50,000 Fidelity Fund \$15,001 - \$50,000 Fidelity Magellan Janet Waxman Separate Investments \$1001 - \$50,000		Vanguard Total Stock Market Index	None	N/A	
Fidelity Contrafund \$15,001 - \$50,000 Fidelity Fund \$15,001 - \$50,000 Fidelity Magellan \$15,001 - \$50,000 \$15,001 - \$50,000 \$15,001 - \$50,000		Waxman Fidelity Investments-IRA's			
Fidelity Fund \$15,001 - \$50,000 Fidelity Magellan \$15,001 - \$50,000 Janet Waxman Separate Investments \$50,000	TL	Fidelity Contrafund	\$15,001 - \$50,000	dividend	
Fidelity Magellan \$15,001 - \$50,000 Janet Waxman Separate Investments CA Health EEA Municipal Road \$1,001 -	JT	Fidelity Fund	\$15,001 - \$50,000	dividend	
Janet Waxman Separate Investments CA Health EEA Municipal Bond \$1,001	JT	Fidelity Magellan	\$15,001 - \$50,000	N/A	
OA Upolith FITA Minicipal Bond] 	Janet Waxman Separate Investments			
	d S	CA Health FFA Municipal Bond	\$1 001	interest	}

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SCHEDUL	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Henry A. Waxman	Waxman		Page 7 of 9
SP	CA State Municipal Bond	\$15,001 - \$50,000	interest	\$201 - \$1,000	
SP	Vanguard Inflation Protected Securities	\$15,001 - \$50,000	dividend	\$201 - \$1,000	ק
SP	Vanguard Small Cap Index Fund	\$15,001 - \$50,000	dividend	\$201 - \$1,000	
	ROTH-IRA				
SP	Vanguard Inflation Protected Securities	\$1,001 - \$15,000	dividend	\$201 - \$1,000	
	CALPERS(CA State Retirement Fund)	\$50,001 - \$100,000	dividend	\$1,001 - \$15,000	
	Credit Union CD	\$1,001 - \$15,000	N/A	NONE	
	State of Israel Bonds	\$1,001 - \$15,000	interest	\$1,001 - \$2,500	
	I Bond	None	N/A	NONE	S

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SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief Name Henry A. Waxman Page 8 of 9

	Type of		
Asset	Iransaction	Date	Amount of Transaction
Vanguard Total Bond Market Fund Investor Stock	ס	2/20/07	\$15,001-\$50,000-
Vanguard Total Bond Market Fund Investor	S (pARt)	monthly	\$1,001 - \$15,000
Vanguard REIT Index Fund Admiral Shares	တ	2/20/07	\$15,001 - \$50,000
Vanguard REIT Index Fund Admiral Shares		12/05/07	\$1,001 - \$15,000
Vanguard Total Stock Market Index Shares	Ø	9/28/07	\$1,001 - \$15,000
Vanguard 500 Index Fund-Admiral Shares	ס	2/05/07	\$1,001 - \$15,000
Vanguard 500 Index Fund-Admiral Shares	4	2/27/07	\$1,001-\$15,000
I Bond	Ø	12/08/07	\$1,001 - \$15,000
Fidelity Magellan	တ	1/17/07	\$15,001 - \$50,000
Fidelity Low Priced Stock FLPSX	S(part)	5/12/07	\$15,001 - \$50,000
Vanguard Inflation Protected Securites	ס	monthly	\$1001-\$15,000
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SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Henry A. Waxman Page 9 of 9

spouse or dependent child that is totally independent of his or her relationship to you. the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the

Source	Date(s)	Point of Departure DestinationPoint of Return	Lodging? (Y/N)	Food? (Y/N)	was a Family ig? Food? Member Included? (Y/N) (Y/N)	sponsor's expense
Aspen Institute Congressional Program	May 25- June 3, 2007	DC-Lijubljana (Slovenia)-DC	Υ	~		3 days
Aspen Institute Congressionall Program	Nov 27- Dec 2, 2007	DC-Liberia, Costa Rica-DC	~	~	~	none